



An Asset International Company

Strategic Insight

Sub-Advised Breakpoint Fee Schedules Open-End and Variable Funds

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DATA INSIGHTS

Focused market intelligence field guide

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Sub-Advised Breakpoint Fee Schedules by Manager

Fund Name	Sub-advised Breakpoint Fee Schedule (\$M / %)	Effective Sub-Adv Fee for Current Asset (%)	06/10 Fund Assets (\$M)	Mutual Fund / Variable Annuity
Manager Name: Aberdeen AssetMgmt				
Aberdeen Emerg Mkts Telecomm			\$155	MF
Sub-Advisor: Credit Suisse Asset Mgmt London	N/A			
Aberdeen First Israel Fund		0.30%	\$64	MF
Sub-Advisor: Analyst Exchange And Trading Serv	Flat Fee 0.300%			
Aberdeen Global Equity	World Stock		\$30	MF
Sub-Advisor: Aberdeen Asset Mgmt - Asia Ltd	\$0 - \$500 0.450%	\$500 - \$2,000 0.430%	>\$2,000 0.400%	
Sub-Advisor: Aberdeen Asset Mgmt Invest Svc - UK	\$0 - \$500 0.450%	\$500 - \$2,000 0.430%	>\$2,000 0.400%	
Sub-Advisor: Gartmore Global Partners	\$0 - \$500 0.450%	\$500 - \$2,000 0.430%	>\$2,000 0.400%	
Aberdeen TxFr Income	Muni National Interm	0.28%	\$122	MF
Sub-Advisor: Credit Suisse	\$0 - \$25 0.350%	\$25 - \$50 0.300%	\$50 - \$100 0.250%	\$100 - \$250 0.200%
				>\$250 0.150%
Latin America Equity		0.10%	\$249	MF
Sub-Advisor: Celfin Servicios Financieros, Ltd	Flat Fee 0.100%			
Manager Name: Absolute Invest				
Absolute Opportunities	Long-Short		\$320	MF
Sub-Advisor: Semaphore Mgmt	\$0 - \$25 2.250%	\$25 - \$50 2.000%	>\$50 1.750%	
Sub-Advisor: Madden Asset Mgmt	\$0 - \$10 2.000%	>\$10 1.500%		
Sub-Advisor: Metropolitan West Asset Managemen	N/A			
Sub-Advisor: GMB Cap Mgmt	N/A			
Sub-Advisor: Green Eagle Capital	\$0 - \$25 2.500%	\$25 - \$75 2.000%	>\$75 1.750%	
Sub-Advisor: Kingstown Capital Mgmt	\$0 - \$25 2.500%	\$25 - \$75 2.000%	>\$75 1.750%	
Absolute Strategies	Long-Short		\$2,536	MF
Sub-Advisor: Yacktmán Asset Management Comp	\$0 - \$25 0.750%	>\$25 0.500%		
Sub-Advisor: Metropolitan West Asset Managemen	N/A			
Sub-Advisor: Kinetics Asset Management Inc	\$0 - \$10 0.700%	>\$10 0.600%		
Sub-Advisor: Twin Capital Mgmt	\$0 - \$15 1.000%	\$15 - \$25 0.900%	>\$25 0.800%	
Sub-Advisor: SSI Investment Mgmt	Flat Fee 1.000%			
Sub-Advisor: Kovitz Investment Group	Flat Fee 1.000%			
Sub-Advisor: GMB Cap Mgmt	Flat Fee 1.000%			
Sub-Advisor: Contravisory Research & Managemer	\$0 - \$10 0.750%	>\$10 0.600%		
Sub-Advisor: Bernzott Capital Advisors	\$0 - \$10 0.700%	>\$10 0.600%		
Sub-Advisor: Aronson Johnson & Ortiz	Flat Fee 1.000%			
Sub-Advisor: Mohican Financial Mgmt	N/A			
Manager Name: Activa Asset Mgmt				
Activa Value	Large Value	0.50%	\$52	MF
Sub-Advisor: Wellington Management Company	\$0 - \$250 0.500%	\$250 - \$500 0.400%	>\$500 0.300%	

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Manager Name: Advance Capital I				
Advance Capital Balanced Sub-Advisor: T Rowe Price Associates	Moderate Allocation \$0 - \$100 >\$100 0.200% 0.150%	0.18%	\$170	MF
Advance Capital Core Equity Sub-Advisor: Seizert Capital Partners, LLC	Large Blend Flat Fee 0.400%	0.40%	\$9	MF
Advance Capital Equity Growth Sub-Advisor: T Rowe Price Associates	Mid Growth \$0 - \$100 >\$100 0.200% 0.150%	0.20%	\$89	MF
Manager Name: Advantus Capital				
Advantus Srs Intl Bond Sub-Advisor: Franklin Advisory Services	World Bond Flat Fee 0.370%	0.37%	\$103	VA
Manager Name: AdvisorShares				
Dent Tactical ETF Sub-Advisor: HS Dent Advisors Inc	World Allocation \$0 - \$100 \$100 - \$250 \$250 - \$500 \$500 - \$1,000 >\$1,000 0.400% 0.450% 0.500% 0.550% 0.600%	0.40%	\$22	MF
Manager Name: AIG Capital				
AIG Money Market Sub-Advisor: N/A	Money Market-Taxable N/A		\$6,300	MF
Manager Name: Alger				
Alger China US Growth Sub-Advisor: Martin Currie Inc.	World Stock \$0 - \$100 >\$100 0.800% 0.600%	0.80%	\$63	MF
Manager Name: AllianceBernstein				
The Ibero-America Fund Sub-Advisor: Privanza Banco Personal SA	Flat Fee 0.250%	0.25%	\$53	MF
Manager Name: Allianz				
AZL VIP AIM Intl Equity Sub-Advisor: Invesco Advisors - GA	Foreign Large Growth \$0 - \$500 \$500 - \$1,000 >\$1,000 0.450% 0.400% 0.350%	0.45%	\$456	VA
AZL VIP BlackRock Cap Apprec Sub-Advisor: BlackRock Capital Management	Large Growth \$0 - \$300 \$300 - \$1,000 >\$1,000 0.400% 0.350% 0.300%	0.39%	\$420	VA
AZL VIP Columbia Md Cap Val Sub-Advisor: BofA Advisors LLC	Mid-Cap Blend Flat Fee 0.500%	0.50%	\$95	VA
AZL VIP Columbia Sml Cap Val Sub-Advisor: BofA Advisors LLC	Small Value Flat Fee 0.600%	0.60%	\$122	VA
AZL VIP Davis NY Venture Sub-Advisor: Davis Select Advisers NY	Large Blend \$0 - \$100 >\$100 0.450% 0.400%	0.41%	\$367	VA
AZL VIP DRY Founders Gr & Inc Sub-Advisor: The Dreyfus Corporation	Large Growth \$0 - \$50 \$50 - \$100 >\$100 0.500% 0.400% 0.300%	0.41%	\$140	VA
AZL VIP Eaton Vance Lg Cp VI Sub-Advisor: Eaton Vance Management	Large Value \$0 - \$250 >\$250 0.300% 0.250%	0.28%	\$387	VA
AZL VIP Franklin Sml Cap Value Sub-Advisor: Franklin Advisory Services	Small Value \$0 - \$200 \$200 - \$500 >\$500 0.600% 0.520% 0.500%	0.60%	\$183	VA
AZL VIP FrnkTmpl Fndng Strt+	World Allocation		\$76	VA

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Sub-Advisor: Templeton Global - Bahamas	N/A			
Sub-Advisor: Franklin Advisory Services	N/A			
Sub-Advisor: Franklin Mutual Advisers	N/A			
AZL VIP Gateway	Long-Short	0.45%	\$10	VA
Sub-Advisor: Gateway Investment Advisers, L.P.	\$0 - \$500 \$500 - \$1,000 >\$1,000	0.450% 0.430% 0.400%		
AZL VIP International Index	Foreign Large Blend		\$230	VA
Sub-Advisor: BlackRock Investment Mgmt - NJ	N/A			
AZL VIP JPM US Equity	Large Blend	0.42%	\$248	VA
Sub-Advisor: JPMorgan Investment Mgmt	\$0 - \$10 \$10 - \$100 >\$100	0.500% 0.450% 0.400%		
AZL VIP MFS Invstrs Trust	Large Growth	0.37%	\$266	VA
Sub-Advisor: Massachusetts Financial Services Co	\$0 - \$250 \$250 - \$500 >\$500	0.380% 0.350% 0.330%		
AZL VIP Mid Cap Index	Mid-Cap Blend		\$108	VA
Sub-Advisor: BlackRock Investment Mgmt - NJ	N/A			
AZL VIP Money Market	Money Market - Taxable	0.08%	\$967	VA
Sub-Advisor: BlackRock Institutional Mgmt Corp	\$0 - \$500 \$500 - \$1,000 >\$1,000	0.090% 0.070% 0.060%		
AZL VIP S&P 500 Index	Large Blend	0.03%	\$454	VA
Sub-Advisor: BlackRock Investment Mgmt - NJ	\$0 - \$300 >\$300	0.040% 0.020%		
AZL VIP Schroder Emrg Mkt Eq	Diversified Emerging Mkts	0.65%	\$329	VA
Sub-Advisor: Schroder Investment Mgmt No Amer/	Flat Fee	0.650%		
AZL VIP Small Cap Stock Index	Small Blend	0.04%	\$151	VA
Sub-Advisor: BlackRock Investment Mgmt - NJ	\$0 - \$300 >\$300	0.040% 0.020%		
AZL VIP Turner Quant SmCpGro	Small Growth	0.50%	\$52	VA
Sub-Advisor: Turner Investment Partners Inc.	\$0 - \$50 \$50 - \$100 >\$100	0.500% 0.400% 0.350%		
AZL VIP Van Kampen GL RE	Global Real Estate	0.65%	\$147	VA
Sub-Advisor: Van Kampen Investment Advisory	Flat Fee	0.650%		
AZL VIP VKM Equity & Income	Moderate Allocation	0.45%	\$263	VA
Sub-Advisor: Van Kampen Investment Advisory	Flat Fee	0.450%		
AZL VIP VKM Growth & Income	Large Value	0.41%	\$216	VA
Sub-Advisor: Van Kampen Investment Advisory	\$0 - \$100 \$100 - \$250 \$250 - \$500 >\$500	0.430% 0.400% 0.380% 0.330%		
AZL VIP VKM Intl Eqty	Foreign Large Blend	0.46%	\$291	VA
Sub-Advisor: Van Kampen Investment Advisory	\$0 - \$250 \$250 - \$500 >\$500	0.470% 0.400% 0.320%		
AZL VIP VKM Mid Cap Growth	Mid-Cap Growth	0.46%	\$340	VA
Sub-Advisor: Van Kampen Investment Advisory	\$0 - \$100 \$100 - \$250 \$250 - \$500 >\$500	0.500% 0.450% 0.430% 0.400%		
Manager Name: ALPS Advisors Inc				
ALPS/GNI Long Short	Long-Short	0.85%	\$9	MF
Sub-Advisor: GNI Capital	\$0 - \$10 >\$10	0.850% 0.650%		
ALPS/Red Rock Listed PrvtEq	Financial	0.57%	\$95	MF
Sub-Advisor: Red Rocks Capital	Flat Fee	0.570%		
Cohen & Steers GI Rlty Mjr ETF	Global Real Estate	0.10%	\$24	MF
Sub-Advisor: Mellon Capital Management Corp	Flat Fee	0.100%		

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EGA DJ Composite Index Titan Sub-Advisor: Emerging Global Advisors, LLC	Diversified Emerg Mkts Flat Fee 0.750%	0.75%	\$25	MF
EGA DJ Emrg Mkt Enrgy Titan Sub-Advisor: Emerging Global Advisors, LLC	Natural Resources Flat Fee 0.850%		\$9	MF
EGA DJ Emrg Mkt Finl Idx Titan Sub-Advisor: Emerging Global Advisors, LLC	Financial Flat Fee 0.850%	0.85%	\$10	MF
EGA DJ Emrg Mkt Mtl Mng Titan Sub-Advisor: Emerging Global Advisors, LLC	Natural Resources Flat Fee 0.850%		\$23	MF
Ibbotson AggrsvGroETF AstAlloc Sub-Advisor: Ibbotson Associates	Large Blend Flat Fee 0.150%	0.15%	\$10	VA
Ibbotson Bal ETF Asset Alloc Sub-Advisor: Ibbotson Associates	Moderate Allocation Flat Fee 0.150%	0.15%	\$67	VA
Ibbotson Consvr ETF AssetAlloc Sub-Advisor: Ibbotson Associates	Conservative Allocation Flat Fee 0.150%	0.15%	\$19	VA
Ibbotson Growth ETF Asset Allo Sub-Advisor: Ibbotson Associates	Large Blend Flat Fee 0.150%	0.15%	\$67	VA
Ibbotson Inc&GroETF AssetAll Sub-Advisor: Ibbotson Associates	Conservative Allocation Flat Fee 0.150%	0.15%	\$31	VA
Jeff TR/J CRB GI Agr Eq Idx Sub-Advisor: Arrow Investment Advisors LLC	Natural Resources Flat Fee 0.050%	0.05%	\$3	MF
Jeff TR/J CRB GI Cmnty Eq Idx Sub-Advisor: Arrow Investment Advisors LLC	Natural Resources Flat Fee 0.050%	0.05%	\$58	MF
Jeff TR/J CRB GI IndMtl Eq Idx Sub-Advisor: Arrow Investment Advisors LLC	Natural Resources Flat Fee 0.050%	0.05%	\$3	MF
Jeff TR/J CRB Wld ExPro Eq Idx Sub-Advisor: Arrow Investment Advisors LLC	Equity Energy Flat Fee 0.050%	0.05%	\$4	MF
Liberty AllStar Equity Sub-Advisor: TCW Funds Management Sub-Advisor: Schneider Capital Management, Inc Sub-Advisor: Pzena Investment Management, LLC Sub-Advisor: Matrix Asset Advisors Sub-Advisor: Chase Investment Counsel	N/A N/A N/A N/A N/A		\$840	MF
Liberty AllStar Growth Sub-Advisor: TCW Funds Management Sub-Advisor: M A Weatherbie & Co Sub-Advisor: William Blair & Company	N/A N/A N/A		\$113	MF
Manager Name: Amer Indep Finl				
Amer Indep Intl Bond Sub-Advisor: Fischer Francis Trees & Watts, Inc.	World Bond N/A		\$19	MF
Amer Indep Intl Equity Sub-Advisor: Security Global Investors	Foreign Large Value Flat Fee 0.560%	0.56%	\$79	MF
Amer Indep Intrmd Bond	Interm-Term Bond	0.15%	\$36	MF

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Sub-Advisor: Fischer Francis Trees & Watts, Inc.	Flat Fee 0.150%			
Amer Indep Short Term Bond	Short-Term Bond	0.15%	\$129	MF
Sub-Advisor: Fischer Francis Trees & Watts, Inc.	Flat Fee 0.150%			
Amer Indep US Infltn Idx	Inflation-Protected Bond	0.40%	\$116	MF
Sub-Advisor: Fischer Francis Trees & Watts, Inc.	Flat Fee 0.400%			
Manager Name: American Beacon				
Amer Beacon Balanced	Moderate Allocation		\$822	MF
Sub-Advisor: Barrow, Hanley, Mewhinney & Straus	N/A			
Sub-Advisor: Brandywine Global Investment Mgmt	\$0 - \$600 >\$600 0.230% 0.200%			
Sub-Advisor: Hotchkis & Wiley	\$0 - \$10 \$10 - \$150 \$150 - \$200 \$200 - \$1,000 >\$1,000 0.600% 0.500% 0.300% 0.200% 0.150%			
Amer Beacon Emerg Mkts	Diversified Emerg Mkts		\$122	MF
Sub-Advisor: Morgan Stanley Investment Advisors	\$0 - \$10 \$10 - \$150 \$150 - \$200 >\$200 1.100% 0.900% 0.800% 0.700%			
Sub-Advisor: TBC (The Boston Co.) Asset Manager	Flat Fee 0.690%			
Amer Beacon Glb Real Est	Global Real Estate		\$20	MF
Sub-Advisor: CB Richard Ellis Global Real Estate S	N/A			
Amer Beacon High Yld Bond	High Yield Bond		\$182	MF
Sub-Advisor: Logan Capital Management	\$0 - \$50 \$50 - \$100 >\$100 0.330% 0.300% 0.250%			
Sub-Advisor: Franklin Templeton	\$0 - \$50 \$50 - \$200 \$200 - \$300 >\$300 0.400% 0.350% 0.300% 0.250%			
Amer Beacon Intl Equity	Foreign Large Value		\$1,225	MF
Sub-Advisor: Lazard Asset Management	\$0 - \$100 \$100 - \$500 >\$500 0.500% 0.330% 0.200%			
Sub-Advisor: Causeway Capital Management LLC	\$0 - \$750 >\$750 0.200% 0.150%			
Sub-Advisor: The Boston Co Advisors Inc	\$0 - \$100 \$100 - \$200 \$200 - \$300 >\$300 0.500% 0.300% 0.250% 0.200%			
Sub-Advisor: Templeton Investment Counsel, Inc.	\$0 - \$100 \$100 - \$150 \$150 - \$400 >\$400 0.500% 0.350% 0.300% 0.250%			
Amer Beacon Intrmd Bond	Interm-Term Bond		\$303	MF
Sub-Advisor: Barrow, Hanley, Mewhinney & Straus	N/A			
Amer Beacon Lg Cap Gro	Large Growth		\$65	MF
Sub-Advisor: Winslow Capital Management	\$0 - \$50 \$50 - \$100 \$100 - \$250 \$250 - \$500 \$500 - \$1,000 0.550% 0.500% 0.450% 0.400% 0.350%			
Sub-Advisor: Renaissance Group	>\$1,000 0.300% N/A			
Amer Beacon Lg Cap Val	Large Value		\$7,101	MF
Sub-Advisor: Hotchkis & Wiley	\$0 - \$10 \$10 - \$50 \$50 - \$150 \$150 - \$400 \$400 - \$800 0.600% 0.500% 0.300% 0.200% 0.150%			
Sub-Advisor: Metropolitan West Capital Manageme	>\$800 0.130%			
Sub-Advisor: Barrow, Hanley, Mewhinney & Straus	\$0 - \$100 >\$100 0.280% 0.200%			
Sub-Advisor: Brandywine Global Investment Mgmt	N/A \$0 - \$500 \$500 - \$600 >\$600 0.250% 0.230% 0.200%			
Amer Beacon Mid Cap Value	Mid Value		\$86	MF
Sub-Advisor: Pzena Investment Management, LLC	\$0 - \$50 \$50 - \$100 >\$100 0.800% 0.600% 0.500%			

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Sub-Advisor: Barrow, Hanley, Mewhinney & Straus:	\$0 - \$100 0.450%	\$100 - \$300 0.400%	>\$300 0.300%	
Amer Beacon Retirement Inc Sub-Advisor: Calamos Advisors	Retirement Income N/A		\$117	MF
Amer Beacon Small Cap Val Sub-Advisor: Hotchkis & Wiley	Small Value N/A		\$2,570	MF
Sub-Advisor: TBC (The Boston Co.) Asset Manager	\$0 - \$100 0.500%	\$100 - \$250 0.450%	>\$250 0.400%	
Sub-Advisor: Metropolitan West Asset Management	\$0 - \$75 0.500%	\$75 - \$150 0.450%	>\$150 0.400%	
Sub-Advisor: Dreman Value Management	Flat Fee 0.550%			
Sub-Advisor: Barrow, Hanley, Mewhinney & Straus:	\$0 - \$200 0.450%	\$200 - \$400 0.350%	>\$400 0.250%	
Sub-Advisor: Brandywine Global Investment Mgmt	\$0 - \$100 0.500%	\$100 - \$250 0.450%	>\$250 0.400%	
Sub-Advisor: Opus Investment Management	\$0 - \$250 0.450%	>\$250 0.400%		
Amer Beacon Treas Inflt Prot Sub-Advisor: Standish Mellon Asset Mgmt Co LLC	Inflation-Protected Bond Flat Fee 0.050%		\$205	MF
Sub-Advisor: NISA Investment Advisors	\$0 - \$50 0.090%	\$50 - \$100 0.070%	>\$100 0.050%	
Manager Name: American Century				
Am Cent Equity Index Sub-Advisor: Northern Trust Investments	Large Blend \$0 - \$500 0.020%	>\$500 0.010%	0.02%	\$379 MF
Am Cent Intl Value Sub-Advisor: Templeton Investment Counsel, Inc.	Foreign Large Value \$0 - \$100 0.500%	>\$100 0.400%		\$24 MF
Sub-Advisor: Franklin Templeton Investments Asia	N/A			
Manager Name: American Growth				
American Growth Sub-Advisor: N/A	N/A		\$16	MF
Manager Name: Aquila Invst Mgmt				
Aquila Hawaiian TxFr Trust Sub-Advisor: N/A	Muni Single State Interm N/A		\$807	MF
Aquila NarragansettInTxFrInc Sub-Advisor: Citizens Trust Company	Muni Single State Interm Flat Fee 0.230%		0.23%	\$225 MF
Aquila Pac Cap Cash Asset Sub-Advisor: N/A	Money Market-Taxable N/A		\$337	MF
Aquila Pac Cap TxFrCshAst Sub-Advisor: N/A	Money Market- Tax-Free N/A		\$199	MF
Aquila Pac Cap USGvCshAst Sub-Advisor: N/A	Money Market-Taxable N/A		\$700	MF
Aquila Tax Free Fund Of CO Sub-Advisor: KPM Investment Management, Inc.	Muni Single State Interm Flat Fee 0.200%		0.20%	\$282 MF
Aquila Tax Free Trust Of OR Sub-Advisor: FAF Advisors	Muni Single State Interm N/A		\$504	MF
Aquila Three Peaks Hi Inc Sub-Advisor: Three Peaks Capital	High Yield Bond \$0 - \$100 0.450%	\$100 - \$250 0.400%	>\$250 0.350%	\$285 MF
Manager Name: Armstrong				

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Armstrong Associates Sub-Advisor: N/A	Large Growth N/A		\$12	MF
Manager Name: Arrow Investment				
Arrow DWA Balanced Sub-Advisor: Dorsey Wright & Associates Inc	Moderate Allocation Flat Fee 0.250%	0.25%	\$261	MF
Arrow DWA Tactical Sub-Advisor: Dorsey Wright & Associates Inc	World Allocation Flat Fee 0.250%	0.25%	\$75	MF
Manager Name: Ascentia Capital				
Ascentia Alternative Strategie Sub-Advisor: DuPont Capital Mgmt Corp Sub-Advisor: Research Affiliates Sub-Advisor: Sage Advisors Inc. Sub-Advisor: Dunham & Assoc Investment Counsel	Long-Short N/A Flat Fee 1.950% Flat Fee 1.250% Flat Fee 0.800%		\$32	MF
Manager Name: AssetMark				
AssetMark Core Plus Fixed Inc Sub-Advisor: Western Asset Management Compan Sub-Advisor: Goldman Sachs Asset Management Sub-Advisor: Barrow, Hanley, Mewhinney & Straus	Interm-Term Bond \$0 - \$100 \$100 - \$1,000 >\$1,000 0.300% 0.150% 0.130% N/A N/A		\$559	MF
AssetMark Enhanced Fundmt LCG Sub-Advisor: Russell Investment Group Sub-Advisor: Research Affiliates	Large Blend N/A N/A		\$66	MF
AssetMark Enhanced Fundmt LCV Sub-Advisor: Research Affiliates Sub-Advisor: Russell Investment Group	Large Value N/A N/A		\$52	MF
AssetMark Enhanced Fundmt SCG Sub-Advisor: Research Affiliates Sub-Advisor: Russell Investment Group	Small Growth N/A N/A		\$15	MF
AssetMark Enhanced Fundmt SCV Sub-Advisor: Russell Investment Group Sub-Advisor: Research Affiliates	Small Value N/A N/A		\$7	MF
AssetMark Intl Equity Sub-Advisor: Martin Currie Inc. Sub-Advisor: Mondrian Investment Partners	Foreign Large Blend \$0 - \$50 \$50 - \$100 >\$100 0.450% 0.400% 0.350% \$0 - \$50 \$50 - \$100 >\$100 0.450% 0.400% 0.350%		\$219	MF
AssetMark Large Cap Growth Sub-Advisor: Wellington Management Company Sub-Advisor: Rainier Investment Management, Inc. Sub-Advisor: Atlanta Captial Management Compan	Large Growth N/A \$0 - \$150 \$150 - \$500 \$500 - \$750 >\$750 0.450% 0.400% 0.380% 0.350% \$0 - \$100 >\$100 0.450% 0.400%		\$171	MF
AssetMark Large Cap Value Sub-Advisor: Davis Selected Advisers Sub-Advisor: NFJ Investment Group Inc. Sub-Advisor: Diamond Hill Capital Management Inc	Large Value \$0 - \$75 >\$75 0.400% 0.350% \$0 - \$75 \$75 - \$500 >\$500 0.400% 0.350% 0.300% \$0 - \$10 \$10 - \$50 >\$50 0.400% 0.430% 0.350%		\$170	MF
AssetMark Real Estate Sec Sub-Advisor: Duff & Phelps Investment Manage	Real Estate Flat Fee	0.40%	\$48	MF

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	0.400%			
AssetMark Small/Mid Cap Growth Sub-Advisor: Allianz Global Investors	Mid Growth Flat Fee		\$34	MF
Sub-Advisor: Copper Rock Capital Partners	0.480% Flat Fee 0.400%			
AssetMark Small/Mid Cap Value Sub-Advisor: Advisory Research Inc	Mid Value \$0 - \$100 \$100 - \$200 >\$200		\$36	MF
Sub-Advisor: Integrity Asset Mgmt	0.530% 0.450% 0.400% Flat Fee 0.500%			
AssetMark TxEx Fixed Income Sub-Advisor: Nuveen Investment Management	Muni National Short \$0 - \$25 >\$25		\$192	MF
Sub-Advisor: Delaware Management Company	0.250% 0.200% \$0 - \$25 \$25 - \$100 \$100 - \$200 >\$200			
	0.230% 0.200% 0.170% 0.130%			
Genworth Calamos Growth Sub-Advisor: Calamos Advisors	Large Growth N/A		\$50	VA
Genworth Columbia MdCpVal Sub-Advisor: Columbia Management Advisors, LLC	Mid-Cap Value N/A		\$52	VA
Genworth Davis NY Venture Sub-Advisor: Davis Selected Advisers	Large Blend N/A		\$11	VA
Genworth EatonVance LgCpVal Sub-Advisor: Eaton Vance Management	Large Value N/A		\$54	VA
Genworth GS Enhncd CrBd Ix Sub-Advisor: Goldman Sachs Asset Management	Intermediate-Term Bond N/A		\$180	VA
Genworth LM ClrBridge Agg Gr Sub-Advisor: ClearBridge Advisors	Large Growth N/A		\$49	VA
Genworth PIMCO StocksPlus Sub-Advisor: PIMCO LLC	Conservative Allocation N/A		\$186	VA
Manager Name: Aston Asset Mgmt				
Aston Value Sub-Advisor: Massachusetts Financial Services Co	Large Value \$0 - \$125 >\$125	0.40%	\$210	MF
	0.400% 0.300%			
Aston/ MD Sass Enh Equity Inc Sub-Advisor: M.D. Sass Investor Services	Long-Short N/A		\$65	MF
Aston/Barings International Sub-Advisor: Baring International Investment Ltd	Foreign Large Blend N/A		\$41	MF
Aston/Cardinal Mid Cap Value Sub-Advisor: Cardinal Capital Management, LLC	Mid Blend N/A		\$1	MF
Aston/Dynamic Alloc Port Sub-Advisor: Smart Portfolios	Conservative Allocation N/A		\$50	MF
Aston/Fortis Real Estate Sub-Advisor: Fortis Advisers, Inc.	Real Estate N/A		\$31	MF
Aston/Herndon Large Cap Value Sub-Advisor: Herndon Capital Mgmt	Large Value N/A		\$1	MF
Aston/Montag&Caldwell Bal Sub-Advisor: Montag & Caldwell, Inc.	Moderate Allocation N/A		\$30	MF
Aston/Montag&Caldwell Gro Sub-Advisor: Montag & Caldwell, Inc.	Large Growth N/A		\$2,732	MF
Aston/Montag&Caldwell MdCpGr Sub-Advisor: Montag & Caldwell, Inc.	Mid Growth N/A		\$3	MF
Aston/Neptune International Sub-Advisor: Neptune Investment Mgmt Limited	Foreign Large Growth N/A		\$2	MF
Aston/NewCent AbsoluteRtn	World Allocation		\$16	MF

Sub-Advised Breakpoint Fee Schedules by Manager

Fund Name	Sub-advised Breakpoint Fee Schedule (\$M / %)	Effective Sub-Adv Fee for Current Asset (%)	06/10 Fund Assets (\$M)	Mutual Fund / Variable Annuity
Sub-Advisor: New Century Capital Mgmt	N/A			
Aston/Optimum Large Cap Opp Sub-Advisor: Optimum Investment Advisers	Large Growth N/A		\$4	MF
Aston/Optimum Mid Cap Sub-Advisor: Optimum Investment Advisers	Mid Blend \$0 - \$100 \$100 - \$400 0.300% 0.250%	0.24% \$400 - \$1,000 0.200%	\$1,483 >\$1,000 0.300%	MF
Aston/River Rd Dvnd All CpVI Sub-Advisor: River Road Asset Management	Mid Value N/A		\$212	MF
Aston/River Rd Sm Cap Val Sub-Advisor: River Road Asset Management	Small Value N/A		\$452	MF
Aston/River Road Small Mid Cap Sub-Advisor: River Road Asset Management	Small Value N/A		\$199	MF
Aston/TAMRO Diversified Eq Sub-Advisor: TAMRO Capital Partners	Large Growth N/A		\$13	MF
Aston/TAMRO Small Cap Sub-Advisor: TAMRO Capital Partners	Small Blend N/A		\$859	MF
Aston/TCH Fixed Income Bond Sub-Advisor: Taplin Canida & Habacht Inc	Interm-Term Bond N/A		\$62	MF
Aston/Veredus Aggrsv Gro Sub-Advisor: Veredus Asset Management, LLC	Small Growth N/A		\$49	MF
Aston/Veredus Select Gro Sub-Advisor: Veredus Asset Management, LLC	Large Growth N/A		\$90	MF
Manager Name: AXA Equitable				
AXA Tactical Manager 400 I Sub-Advisor: AllianceBernstein	Mid-Cap Blend Flat Fee 0.020%		\$33	VA
Sub-Advisor: BlackRock Investment Mgmt - NJ	\$0 - \$5,000 \$5,000 - \$10,000 0.080% 0.060%	>\$10,000 0.050%		
AXA Tactical Manager 500 I Sub-Advisor: AllianceBernstein	Large Blend Flat Fee 0.020%		\$503	VA
Sub-Advisor: BlackRock Investment Mgmt - NJ	\$0 - \$5,000 \$5,000 - \$10,000 0.080% 0.060%	>\$10,000 0.050%		
AXA Tactical Mgr 2000 I Sub-Advisor: BlackRock Investment Mgmt - NJ	Small Blend \$0 - \$5,000 \$5,000 - \$10,000 0.080% 0.060%	>\$10,000 0.050%	\$192	VA
Sub-Advisor: AllianceBernstein	Flat Fee 0.020%			
AXA Tactical Mgr 2000 II Sub-Advisor: AllianceBernstein	Small Blend Flat Fee 0.020%		\$913	VA
Sub-Advisor: BlackRock Investment Mgmt - NJ	\$0 - \$5,000 \$5,000 - \$10,000 0.080% 0.060%	>\$10,000 0.050%		
AXA Tactical Mgr 400 II Sub-Advisor: AllianceBernstein	Mid-Cap Blend Flat Fee 0.020%		\$918	VA
Sub-Advisor: BlackRock Investment Mgmt - NJ	\$0 - \$5,000 \$5,000 - \$10,000 0.080% 0.060%	>\$10,000 0.050%		
AXA Tactical Mgr 500 II Sub-Advisor: BlackRock Investment Mgmt - NJ	Large Blend \$0 - \$5,000 \$5,000 - \$10,000 0.080% 0.060%	>\$10,000 0.050%	\$4,030	VA
Sub-Advisor: AllianceBernstein	Flat Fee 0.020%			
AXA Tactical Mgr Intl Sub-Advisor: BlackRock Investment Mgmt - NJ	Foreign Large Blend \$0 - \$5,000 \$5,000 - \$10,000 0.080% 0.060%	>\$10,000 0.050%	\$150	VA

Fund Name	Sub-advised Breakpoint Fee Schedule (\$M / %)	Effective Sub-Adv Fee for Current Asset (%)	06/10 Fund Assets (\$M)	Mutual Fund / Variable Annuity
Sub-Advisor: AllianceBernstein	Flat Fee 0.020%			
AXA Tactical Mgr Intl II Sub-Advisor: AllianceBernstein	Foreign Large Blend Flat Fee 0.020%		\$2,192	VA
Sub-Advisor: BlackRock Investment Mgmt - NJ	\$0 - \$5,000 0.080% \$5,000 - \$10,000 0.060% >\$10,000 0.050%			
EQ/AXA Frnk Sm Cap Val Core Sub-Advisor: Franklin Advisory Services	Small Value \$0 - \$200 0.600% \$200 - \$500 0.520% >\$500 0.500%		\$337	VA
Sub-Advisor: BlackRock Investment Mgmt - NJ	Flat Fee 0.020%			
EQ/BlackRock Basic Val Eqty Sub-Advisor: BlackRock Investment Mgmt - NJ	Large Value \$0 - \$100 0.400% \$100 - \$300 0.380% \$300 - \$500 0.350% \$500 - \$1,000 0.330% >\$1,000 0.300%		\$1,669	VA
EQ/BlackRock Intl Value Eqty Sub-Advisor: BlackRock Investment Mgmt Intl Ltd	Foreign Large Value \$0 - \$100 0.440% \$100 - \$300 0.420% \$300 - \$500 0.400% \$500 - \$1,000 0.380% >\$1,000 0.350%	0.39%	\$1,312	VA
EQ/Calvert Socially Resp Sub-Advisor: Calvert Asset Management Company	Large Growth \$0 - \$150 0.100% >\$150 0.130%		\$67	VA
Sub-Advisor: Bridgeway Capital Management	\$0 - \$150 0.250% \$150 - \$300 0.200% >\$300 0.180%			
EQ/Cap Guardian Growth Sub-Advisor: Capital Guardian Trust Co	Large Growth \$0 - \$600 0.340% >\$600 0.320%	0.34%	\$310	VA
EQ/Cap Guardian Research Sub-Advisor: Capital Guardian Trust Co	Large Growth \$0 - \$150 0.500% \$150 - \$300 0.450% \$300 - \$500 0.350% \$500 - \$1,000 0.300% \$1,000 - \$2,000 0.280% >\$2,000 0.250%	0.36%	\$1,043	VA
EQ/Core Bond Idx Sub-Advisor: SSgA Funds Management	Intermediate-Term Bond \$0 - \$2,000 0.020% >\$2,000 0.020%	0.02%	\$5,197	VA
EQ/Davis NY Venture Sub-Advisor: Davis Selected Advisers	Large Blend \$0 - \$150 0.430% \$150 - \$500 0.400% >\$500 0.350%	0.41%	\$367	VA
EQ/Equity Growth PLUS Sub-Advisor: BlackRock Capital Management	Large Growth \$0 - \$400 0.450% \$400 - \$1,000 0.400% \$1,000 - \$1,500 0.380% >\$1,500 0.350%		\$1,619	VA
Sub-Advisor: BlackRock Investment Mgmt - NJ	\$0 - \$5,000 0.080% \$5,000 - \$10,000 0.060% >\$10,000 0.050%			
EQ/Franklin Core Bal Sub-Advisor: Franklin Advisory Services	Conservative Allocation \$0 - \$50 0.630% \$50 - \$200 0.470% \$200 - \$500 0.380% >\$500 0.350%		\$1,030	VA
Sub-Advisor: BlackRock Investment Mgmt - NJ	Flat Fee 0.020%			
EQ/GAMCO Mergers & Acquistn Sub-Advisor: GAMCO Investors Inc	Long-Short \$0 - \$100 0.450% >\$100 0.400%	0.43%	\$194	VA
EQ/GAMCO Small Co Value Sub-Advisor: GAMCO Investors Inc	Small Blend \$0 - \$1,000 0.400% >\$1,000 0.300%	0.37%	\$1,464	VA
EQ/Global Bond PLUS Sub-Advisor: BlackRock Investment Mgmt - NJ	World Bond Flat Fee 0.020%		\$1,280	VA
Sub-Advisor: First International	\$0 - \$100 \$100 - \$150 >\$150			