



PRISM REVIEW

Building on Strategic Insight's (SI) 20 year history of advising fund companies how to strengthen their businesses, **the PRISM Review supports management and board of director's compliance and due diligence efforts.**

The *PRISM Review* includes **15(c) advisory contract renewal services, on-demand research on specific fee questions, fee and expense benchmarking, and fact-based research studies** on related topics. **Combining our data, perspective, focus, and studies results in unmatched insight and analytics.** SI is uniquely structured for this service, as its analysts benefit from deep knowledge of the fund industry, a decade of in-depth fee research, comprehensive fee databases (Simfund), and daily-updated monitoring of all SEC new filings (www.fundfiling.com).

Features:

- Fee benchmarking for all mutual funds, including underlying funds for variable annuities.
- Integrated fee and expense benchmarking with additional presentations focused on return, risk, and cash flow parameters.
- Independent research and production from a trusted third party.
- Standardized peer group methodology, which can be augmented with a collaborative effort to fine-tune the identification of peer funds, an approach that at times could enhance perspective and judgment.
- Incorporated internal fund level data provided by the fund management company.
- Customized content for the specific needs of each management company and its board.

The *PRISM Review* not only facilitates and enhances the annual 15(c) advisory contract renewal process, but can also assist fund management and directors in keeping abreast of and monitoring today's continually changing fee and expense landscape.

Our Fund Filing team (www.fundfiling.com) monitors all SEC mutual fund filings on a daily basis, enabling regular tracking of fee changes, and in turn, allowing us to develop the most accurate and comprehensive custom fee analytics.

Examples of Custom Fee Analysis:

- Contractual advisory fee breakpoint analysis
- Contractual advisory fee category benchmarking
- Subadvised funds: Benchmarking economies of scale
- Management fee analysis
- Board compensation and structure
- Funds closed to new investors and 12b-1 fees
- Performance based advisory fees

To learn more, please contact: Rita Leytush at 212-944-4466 or Kevin Shine at 212-217-6941.